

# SEBI CSCRF — Linux Server Compliance Checklist

For SEBI-Regulated Entities · Stockbrokers, AMCs, Depositories, RAs, Portfolio Managers

Mapped to SEBI CSCRF Circular SEBI/HO/ITD-1/ITD\_CSC\_EXT/P/CIR/2024/113 dated August 20, 2024 and subsequent clarifications through April 2025

Control	CSCRF Ref	Who Acts
<b>PATCH MANAGEMENT</b>		
Monthly security patching on all Linux servers	PR.MA.S3	AV Services
Critical/high patches applied within 1 week of release	PR.MA.S3	AV Services
Patch compliance report maintained and available for audit	PR.MA.S3	AV Services
VAPT conducted half-yearly (Qualified Stock Brokers)	PR.MA / 4.3	RE + AV Services
All VAPT findings closed within 3 months	CSCRF 4.3	AV Services
<b>ACCESS CONTROL &amp; PRIVILEGE MANAGEMENT</b>		
Principle of least privilege enforced on all Linux users	PR.AC	AV Services
Privileged accounts (root/sudo) reviewed quarterly	PR.AC	AV Services
SSH key-based authentication; password login disabled	PR.AC	AV Services
Multi-factor authentication on all admin access	PR.AC	RE + AV Services
Terminated staff access revoked within 24 hours	PR.AC	RE
<b>LOG MANAGEMENT &amp; AUDIT TRAILS</b>		
System, application, and security logs collected from all servers	DE.AE / ID.AM	AV Services
Logs retained for minimum 1 year (SEBI requirement)	DE.AE	AV Services
Log integrity protected — no tampering possible	DE.AE	AV Services
Audit trail available for all privileged user actions	PR.AC / DE.AE	AV Services
Log review conducted monthly; anomalies escalated	DE.AE	AV Services
<b>INCIDENT RESPONSE</b>		
Incident response plan documented and tested annually	RS.RP	RE + AV Services
Cyber incident reported to SEBI/CERT-In within 6 hours	RS.CO	RE
Root Cause Analysis (RCA) report produced post-incident	RS.AN	AV Services
Emergency response available 24/7 (remote within 2 hrs)	RS.RP	AV Services
<b>BACKUP &amp; DISASTER RECOVERY</b>		
Automated daily backups with offsite/cloud copy	RC.RP	AV Services
Backup restore tested monthly — documented results	RC.RP	AV Services



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Recovery Time Objective (RTO) defined and achievable	<i>RC.RP</i>	RE + AV Services
<b>SECURITY HARDENING</b>		
Firewall rules reviewed and unnecessary ports closed	<i>PR.PT</i>	AV Services
SELinux / AppArmor enforcing on production servers	<i>PR.PT</i>	AV Services
Network segmentation — trading servers isolated	<i>PR.PT</i>	RE + AV Services
CIS Benchmark hardening applied and documented	<i>PR.IP</i>	AV Services

### How many of these can you check off today?

AV Services manages all Linux-layer controls on monthly retainer. Free 30-minute audit available.

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